

**READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT**

1 File Number U <u>9440</u>	2 Fiscal Year Covered From <u>1</u> / <u>1</u> / <u>2004</u> Through <u>12</u> / <u>31</u> / <u>2004</u>
3 Name and address of person filing Name <u>DAVID</u> <u>W</u> <u>BAUER</u>  P O Box Bldg Room No if any <u></u>  Street <u>22 QUIET FALLS CT</u>  City <u>ST CHARLES</u>  State <u>Missouri</u> ZIP Code + 4 <u>63304-2414</u>	4 Name file number and address of labor organization Name <u>CARPENTERS DISTRICT COUNCIL OF ST LOUIS</u>  Labor Organization File Number <u>02608</u>  P O Box Building and Room Number if any <u></u>  Street <u>1401 HAMPTON AVE</u>  City <u>ST LOUIS</u>  State <u>Missouri</u> ZIP Code + 4 <u>63139-3159</u>
5 Position in labor organization <u>ASSISTANT CONTROLLER</u>	

Enter appropriate data below if during the past fiscal year you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions)

<p><b>A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent</b></p>	
<p><b>6 Name and address of Employer (including trade name, if any)</b></p> <p>Name <input type="text"/></p> <p>Trade Name, if any <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text"/></p> <p>Street <input type="text"/></p> <p>City <input type="text"/></p> <p>State <input type="text"/> ZIP Code + 4 <input type="text"/></p>	<p><b>7 a. Nature of Interest, Transaction, or Income</b></p> <div style="border: 1px solid black; height: 100px; width: 100%;"></div> <p><b>7 b. Amount</b></p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>

**Signature**

**15 Signature and verification** The undersigned declares under penalty of Perjury and other applicable penalties of the law that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is to the best of the undersigned's knowledge and belief true, correct, and complete. (See the section on penalties in the instructions.)

Signed David Banks On 8/12/05 Date 314-644-4800 Telephone Number

Name of Person Filing DAVID, BAUER

File Number U

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

## 8 Name and address of Business (including trade name if any)

Name INVESCO-NAM

Trade Name if any

P O Box Bldg Room No if any

Street 400 W MARKET ST STE 2500

City LOUISVILLE

State Kentucky

ZIP Code + 4 40202-3349

## 9 Business deals with

☐ a Labor Organization☒ b Trust☐ c Employer

## 10 If 9 b or 9 c is checked give trust or employer's name

Name CARPENTERS PENSION TRUST FUND

Trade Name if any

P O Box, Bldg Room No if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri

ZIP Code + 4 63139-3159

## 11 a Nature of such dealing

INVESCO-NAM IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST LOUIS

## 11 b Approximate dollar value of such dealing

\$293 076

## 12 a Nature of interest held or income received

1/29/04 DINNER (INCLUDED SPOUSE)

## 12 b Amount

\$251

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

## 13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name

Trade Name if any

P O Box Bldg Room No if any

Street

City

State

ZIP Code + 4

## 14 a Nature of payment.

13 b Is the Business an Employer ☐or Consultant ☐ ?

## 14 b Amount of payment.

Name of Person Filing, DAVID BAUER

File Number U

## Part B Continuation Page

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

## 8 Name and address of Business (including trade name if any)

Name AMALGATRUST COMPANY

Trade Name if any

P O Box Bldg Room No if any

Street ONE WEST MONROE

City CHICAGO

State Illinois

ZIP Code + 4 60603-5301

## 9 Business deals with

☐ a Labor Organization☒ b Trust☐ c Employer

## 10 If 9 b or 9 c is checked give trust or employer's name

Name CARPENTERS HEALTH &amp; WELFARE TRUST FUND

Trade Name if any

P O Box, Bldg Room No if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri

ZIP Code + 4 63139 3159

## 11 a Nature of such dealing

AMALGATRUST COMPANY IS A MONEY MANAGER FOR THE CARPENTERS HEALTH &amp; WELFARE TRUST FUND

11 b Approximate dollar value of such dealing

\$104 086

## 12 a Nature of interest held or income received

12 b Amount

\$27

Name of Person Filing, DAVID BAUER

File Number U

## Part B Continuation Page

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

## 8 Name and address of Business (including trade name if any)

Name UMB BANK

Trade Name if any

P O Box Bldg Room No if any P O BOX 66919

Street 2 SOUTH BROADWAY

City ST LOUIS

State Missouri

ZIP Code + 4 63166-6919

## 9 Business deals with

☐ a Labor Organization☒ b Trust☐ c Employer

## 10 If 9 b or 9 c is checked give trust or employer's name

Name CARPENTERS PENSION TRUST FUND

Trade Name if any

P O Box, Bldg Room No if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri

ZIP Code + 4 63139-3159

## 11 a Nature of such dealing

UMB BANK WAS THE CUSTODIAN FOR THE CARPENTERS DISTRICT COUNCIL S FRINGE BENEFIT FUNDS

11 b Approximate dollar value of such dealing

\$13 750

## 12 a Nature of interest held or income received

7/10/04 UMB PAVILION TICKETS TO A CONCERT

12 b Amount

\$240

Name of Person Filing DAVID BAUER

File Number U

## Part B Continuation Page

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

## 8 Name and address of Business (including trade name if any)

Name SIERRA INVESTMENT PARTNERS

Trade Name if any

P O Box Bldg Room No if any

Street 2625 BUTTERFIELD RD STE 138 SOUTH

City OAK BROOK

State Illinois

ZIP Code + 4 60593 1844

## 10 If 9 b or 9 c is checked give trust or employer's name

Name CARPENTERS PENSION TRUST FUND

Trade Name if any

P O Box Bldg Room No if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri

ZIP Code + 4 63139 3159

## 9 Business deals with

☐ a Labor Organization☒ b Trust☐ c Employer

## 11 a Nature of such dealing

SIERRA INVESTMENT PARTNERS IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST LOUIS

11 b Approximate dollar value of such dealing

\$75 192

## 12 a Nature of interest held or income received

12/04 HOLIDAY BOTTLE OF WINE

12 b Amount

\$49